

11/29/01
1c952 U.S. PTO

12-03-01

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PATENT

Docket No. IL-10888

Customer No. 24981

Commissioner for Patents
Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):
Luiz B. Da Silva, Charles L. Chase

For (title): **Optical Probe With Reference Fiber**

1c957 U.S. PTO
09/997872
11/29/01

1. Type of Application

- ☒ This new application is for an original patent.
- ☐ This new application is a:
 - ☐ Division
 - ☐ Continuation
 - ☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s).

3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

Application Serial No. _____ Filing Date _____

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

- 18 Pages of specification w/title page
- 3 Pages of claims
- 1 Pages of abstract
- 3 Sheets of drawings
- ☒ formal

5. Additional papers enclosed

- ☐ Preliminary Amendment
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449

6. Declaration or oath

- ☒ Enclosed and executed by
 ☒ inventors
 ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
 ☒ is attached
 ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	16	-20 =		x \$18 =	\$
Independent Claims	3	-3 =		x \$84 =	\$
				Multiple Claims	= \$ 0.00
				Basic Filing Fee	= \$ 740.00
				Sub-Total	= \$ 740.00
				Small Entity Filing Fee	= \$ 370.00

10. Small Entity Statement(s)

☐ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

☒ Small Entity status is proper and desired

Filing Fee Calculation (50% of regular filing fee) \$ 370.00

11. Fee Payment

☐ Not Enclosed

☒ Enclosed (See Account No. Below)

Total Basic Filing Fees To Be Paid \$ 370.00

12. Method of Payment of Fees

☐ Check in the Amount of \$ _____

☒ Charge Account No. 12-0695 in the amount of \$ 370.00

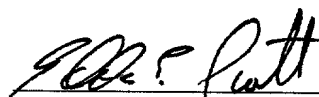
A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

☒ credit/charge

Account No. 12-0695

☐ refund



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Dated: November 20, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Luiz B. Da Silva, et al.

Attorney Docket No. : IL-10888

Serial No. :

Art Unit:

Filed :

Examiner:

For : Optical Probe With Reference Fiber

Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE"Express Mail" label number EL534388971USDate of Deposit 11/29/01I hereby certify that the following *attached*

1. Recordation Cover Sheet w/ Assignment
2. New Application Transmittal (in duplicate)
3. Application
(Specification w/ Title page 18 pages, Claims 3 pages, Abstract 1 page)
Three (3) sheets of formal drawings;
4. Combined Declaration and Power of Attorney
5. Information Disclosure Statement (1 page)
6. Form PTO-1449 (1 page)
 - a) 7 U.S. Patent Documents
 - b) 3 Foreign Patent Documents
7. Express Mail Certificate
8. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-3513
Box: Patent Application.

Kathy E. Raymond

(Type or print name of person mailing paper)

Kathy E. Raymond

(Signature of person mailing paper or fee)

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